

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary) .

Complete if Known						
Application Number	09/687,497	_				
Filing Date	October 13, 2000					
First Named Inventor	Fujisawa et al.					
Art Unit	2644					
Examiner Name	McChesney, Elizabeth A.					
Attorney Docket Number	72750 [00P280PS_LIS00]	$\overline{}$				

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Der	AA	^{US-} 6,031,174	02-29-2000	Takabayashi	
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		FORE	IGN PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁸ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
AeF	AB	JP 07-121163	05-12-1995	Yasushi		V
1	AC ,	JP 07-064545	03-10-1995	Yasuto et al.		P
		JP 02-108098	04-19-1990	Riichi et al.		V
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	AF/	JP 08-185164	07-16-1996	Katsu		V
	AG	df 08/385356/	11-22-1996	Sei		V

Examiner Signature Date Considered Considered

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket Number	72759 [00P289PS-US00]					

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ACF	AH	JP 11-242483	09-07-1999	Shigeru et al.		V
	Al .	dP 11-015482	01-22-1999	Tetsuya et al.		V
7	AJ/	JP 11-161271	6-18-1999	Takabayashi		
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Examiner Signature Date Considered 10/12/04

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				Art Unit	2644	
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Sheet	3	of	3	Attorney Docket Number	72759 [00P289PS-US00]	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
Act	AK	JAPANESE PATENT OFFICE, "Rejection of the Application", dated March 23, 2004, for pending Japanese patent application corresponding to U.S. Application No. 09/687,464, 6 pages.	V
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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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pplication No. Form PTO-1449 (Modified) 09/687,497 SUPPLEMENTAL OF 1 4 2003 Siling Date October 13, 2000 INFORMATION DISCLOSURE CITATION IN AN APPLICATION First Named Inventor FUJISAWA, Takafumi Group Art Unit 2644 **Examiner Name** McChesney, Elizabeth A. Attorney Docket No. 72759 [00P289PS-US00] Sheet 1 of 1

	U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	ÃO.	COPY NOT ENCLOSE	U.S. PATEI DOCUMEN	-	NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION	CLASS	CLASS	FILING DATE (if appropriate)	
	CITE NO.	D PER 37 CFR § 1.98(d)	PATENT, PUB., OR APP. NO.	(if beened)		(MM-DD- YYYY)		SUB		
Ack	AA		. 09/687,464		Fujisawa et al.				— 10/13/2000	
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V	AJ		5,623,112		Ito et al.	04/22/1997			12/28/1994	

	OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS							
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
ACK	AK		A. MERCK; "Steinberg Cubase Audio Sequenzer & Harddisk-Recording fur Apple Macintosh"; Keyboard, Music Media Verlag; Augsburg; January 1993; pp. 112-115, 118; XP-002240715; (full 10-page English translation also included).					

Examiner Signature	X	1~	X	2	Date Considered	10/12/04
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Form PTO-1449 (Modified)	AUG 2 0 2003 K	Application No.	09/687,497
SUPPLEMEN'	KAL S	Filing Date	October 13, 2000
SUPPLEMEN' INFORMATION DIS	CECEURE	First Named Inventor	FUЛSAWA, Takafumi
CITATION IN AN AP	PLICATION	Group Art Unit	2644
		Examiner Name	McChesney, Elizabeth A.
Sheet 1 of	1	Attomey Docket No.	72759 [00P289PS-US00]

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EXAMINER INITIALS*	NO.	COPY NOT ENCLOSED PER 37 CFR	U.S. PATEN DOCUMEN	_	NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION	CLASS	CLASS	FILING DATE (if appropriate)
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	ОТН	ER DOC	UMENTS NON PATENT LITERATURE DOCUMENTS
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
1F	AA	,	EUROPEAN PATENT OFFICE; "European Search Report"; cited in European Patent Application No. EP 00 30 9033 corresponding to related to U.S. Application Serial No. 09/687,464; dated June 20, 2003; pp. 1-2.
	AB	<	"IQS SAW, 4-Spur-Harddisk-Recording fur Windows-PC"; Keyboards, Music Media Verlag; Augsburg; April 1994; pp. 148-152; XP-002240866; (full 10-page English translation also included).
7	AC		A. MERCK; "Steinberg Cubase Audio Sequenzer & Harddisk-Recording fur Apple Macintosh"; Keyboard, Music Media Verlag; Augsburg; January 1993; pp. 112-116; XP-002240715; (full 10-page English translation also included).

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Form PTO-1449 (Modified) AUG 1 1 2003	Application No.	09/687,497
SUPPLEMENTAL INFORMATION DISCLOSURE	Filing Date	October 13, 2000
	First Named Inventor	FUJISAWA, Takafumi
CITATION IN AN APPLICATION	Group Art Unit	2644
	Examiner Name	McChesney, Elizabeth A.
Sheet 1 of 2	Attorney Docket No.	72759 [00P289PS-US00]

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EXAMINER INITIALS*	NO.	COPY NOT ENCLOSE D PER 37	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION	CLASS	CLASS	FILING DATE (if appropriate)
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ACF	AA	./	JP .	10-149166		06/02/1998			X (Abstract only)	
	AB	/	JP	4-068633		11/02/1992		ĺ	X (Abstract only)	
	AC	/	JP	8-030270		02/02/1996	l		X (Abstract only)	
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Form PTO-1449 (Modified)	Application No.	09/687,497 .
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	First Named Inventor	FUJISAWA, Takafumi
CITATION IN AN APPLICATION	Group Art Unit	2644
	Examiner Name	McChesney, Elizabeth A.
Sheet 2 of 2	Attorney Docket No.	72759 [00P289PS-US00]

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Aek	AE		JAPANESE PATENT OFFICE; "Rejection of the Application"; Mailing No. 218699; Mailing Date: July 1, 2003; issued in a counterpart foreign application; 4 pages (including two-page English translation of portions).

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	Form PTO-1449 (Modifie	d)	Application No.	09/687,497	\vdash
	SUPPLE	MENTAL	Filing Date	October 13, 2000	
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PARTIE A	Sheet	1 of 1	Attorney Docket No.	72759 [00P289PS-US00]	

			U.S. PA	ATENT	DOCUMENTS	3			
EXAMINER INITIALS*	NO.	COPY NOT ENCLOSED PER 37		U.S. PATENT DOCUMENT NAME OF INVENTOR OR APPLICANT			CLASS	CLASS	FILING DATE (if appropriate)
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Acp	AB		"Techtv, Windows 98/ME Control Panel Features" [online]; Retrieved from the internet on <url: 0,24331,3413232,00.html="" callforhelp="" howto="" http:="" jump="" www.techtv.com="">; XP-002237407; pp. 1-3; Retrieved from the internet on July 4, 2003.</url:>						
1	AC		EUROPEAN PATENT OFFICE; "European Search Report"; cited in corresponding European Patent Application No. EP 00 30 9037; dated May 21, 2003; pp. 1-3.						

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INFORMATION DISCLOSURE	Filing Date	October 13, 2000	
CITATION IN AN APPLICATION	First Named Inventor	FUJISAWA, Takafum RECEIV	/ED
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DEC 3 0 2002 W	Examiner Name	McChesney, E.A. Technology Cente	
Sheet 1 of 1	Attorney Docket No.	72759 [00P289PS-US00]	er 2600
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A	K	AB		JP	10-171460 A		06/26/1998			X (Abstract)		
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Aek	AG		JAPANESE PATENT OFFICE; Japanese Office Action, mailing date October 1, 2002; pp. 1-2 (with English translation of portions).							

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Sheet 1 of 1

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FORM PTO (Rev. 7:80)	1449: 35 1449: 35 1522: 154	Parantal III	of sommerce Nademark Office	et.	Atty. Docket No. 397.18.01				Appl. No. 09/687,497			
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Examiner	MOT!	Document Number	Date 1	Name:			Class (i)	Subclass	Filing Date			
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ACF	A2	5,763,804	07/09/98	Rigopul	os, et al.		84	635	11/27/96			
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Attorney Docket No.: 397.1



Sheet 1 of 1

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